

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicants/Patent Owner: Thaddeus C. George et al.

Application No./Patent No.: 10/593,018 Int'l Filing Date: March 16, 2005

Entitled: IMAGE BASED QUANTITATION OF MOLECULAR TRANSLOCATION

Amnis Corporation

(Name of Assignee)

a

Corporation

(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_%)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.  
The assignment was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the  
current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the  
original owner to the assignee was, or concurrently is being, submitted for recordation  
pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment  
Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP  
302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

David Basiji  
Signature

October 20, 2008  
Date

DAVID BASIJI  
Typed or printed name

PRESIDENT & CEO  
Title

## ASSIGNMENT

WHEREAS, we, Thaddeus C. George, David A. Basiji, Keith Frost, Brian E. Hall, William E. Ortyn and Michael J. Seo (hereinafter referred to as ASSIGNORS), having mailing addresses of 1712 Northwest 63rd Street, Seattle, WA 98107; ~~3403 NW 71, Seattle WA 98117~~ <sup>9/11/08 MAK</sup> 8723 S. Holly Park Dr., Seattle WA 98148; ~~6538 Greenwood Avenue North, Seattle, WA 98103~~ <sup>9/11/08 MAK</sup> 4801B South Roxbury Street, Seattle, WA 98118; ~~1121 17th Avenue, Seattle, WA 98141~~ <sup>9/11/08 MAK</sup> Apartment A, Seattle, WA 98122; 11546 Matsu Place Northeast, Bainbridge Island, WA 98110; ~~2 Railroad Place, Westport CT, 06880~~ <sup>9/11/08 MAK</sup> and 8120 Southeast 77th Place, Mercer Island, WA 98040, respectively, are the joint inventors of an invention entitled "IMAGE BASED QUANTITATION OF MOLECULAR TRANSLOCATION," as described and claimed in the specification for which an application for United States letters patent was filed and assigned Application No. 10/593,018, which application is a national stage application filed under 35 U.S.C. § 371 of International Application No. PCT/US05/008866, accorded an International Filing Date of March 16, 2005, which applications claim the benefit of U.S. Provisional Application No. 60/572,877, filed on May 19, 2004 and U.S. Provisional Application No. 60/553,484, filed on March 16, 2004;

WHEREAS, Amnis Corporation (hereinafter referred to as ASSIGNEE), having a usual place of business at 2505 Third Avenue, Suite 210, Seattle, WA 98121, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application, and any and all letters patent that may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues, and continuations thereof, applications, and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer, and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks and any and all patent authorities to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

8 September, 2008  
Date

Thaddeus C. George

I certify that I know or have satisfactory evidence that Thaddeus C. George signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.



Dated 8 September, 2008  
Signature of Notary Public Marjorie Ann Kessler  
Printed Name Marjorie Ann Kessler  
My appointment expires 07/10/10

8 September, 2008  
Date

David A. Basiji

I certify that I know or have satisfactory evidence that David A. Basiji signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

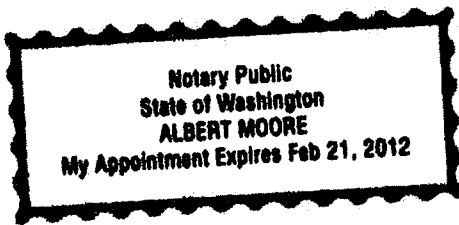


Dated 8 September, 2008  
Signature of Notary Public Marjorie Ann Kessler  
Printed Name Marjorie Ann Kessler  
My appointment expires 07/10/10

2008-09-18  
Date

Keith Frost  
Keith Frost

I certify that I know or have satisfactory evidence that Keith Frost signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.



Dated Sept. 18, 2008  
Signature of [Signature]  
Notary Public  
Printed Name Albert Moore  
My appointment expires 02-21-2012

9-11-2008  
Date

[Signature]  
Brian E. Hall

I certify that I know or have satisfactory evidence that Brian E. Hall signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.



Dated \_\_\_\_\_  
Signature of Margorie Ann Kessler  
Notary Public  
Printed Name Margorie Ann Kessler  
My appointment expires 07/10/10

\_\_\_\_\_  
Date

\_\_\_\_\_  
Keith Frost

I certify that I know or have satisfactory evidence that Keith Frost signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Dated \_\_\_\_\_

Signature of  
Notary Public \_\_\_\_\_

Printed Name \_\_\_\_\_

My appointment expires \_\_\_\_\_

9-11-2008  
Date

Brian E. Hall  
Brian E. Hall

I certify that I know or have satisfactory evidence that Brian E. Hall signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Dated Sept 11, 2008

Signature of  
Notary Public Margone Ann Kessler

Printed Name Margone Ann Kessler

My appointment expires 07/10/10



9/8/08  
Date

W E Orty  
William E. Orty

I certify that I know or have satisfactory evidence that William E. Orty signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.



Dated September 8, 2008  
Signature of Notary Public Marjorie Ann Kessler  
Printed Name Marjorie Ann Kessler  
My appointment expires 07/10/10

\_\_\_\_\_  
Date

\_\_\_\_\_  
Michael J. Seo

I certify that I know or have satisfactory evidence that Michael J. Seo signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Dated \_\_\_\_\_  
Signature of Notary Public \_\_\_\_\_  
Printed Name \_\_\_\_\_  
My appointment expires \_\_\_\_\_

\_\_\_\_\_  
Date

\_\_\_\_\_  
William E. Ortyn

I certify that I know or have satisfactory evidence that William E. Ortyn signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Dated \_\_\_\_\_

Signature of  
Notary Public \_\_\_\_\_

Printed Name \_\_\_\_\_

My appointment expires \_\_\_\_\_

\_\_\_\_\_  
Date

9/9/2008

\_\_\_\_\_  
Michael J. Seo

*Michael J. Seo*

I certify that I know or have satisfactory evidence that Michael J. Seo signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Dated at Westport, CT on Sept. 9, 2008

Signature of  
Notary Public *Johanna Straczek*

Printed Name JOHANNA STRACZEK

My appointment expires Sept. 30, 2011